



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
--------------------	---------------------	-----------------------	---------------------------

09/323,597 06/01/99 AFAR

D 1703-007, US1

0232/0625

LAW OFFICE OF KENNETH K SHARPLES  
P O BOX 277  
80 FOURTH STREET  
POINT REYES STATION CA 94956



NOT ASSIGNED

1643

DATE MAILED:

06/25/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) ☒ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ small entity (statement filed) ☐ non-small entity is \$ 65.00.

- ☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$\_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$\_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$\_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$\_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

C. Molish  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

999 ASHLE 00000031 09323597

65.00 00

05



SECTOR  
Box SEA

ATTORNEY DOCKET NO. 1703-007.US1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants** : Danny E. Afar et al.  
**Serial No** : 09/323,597  
**Filed** : June 1, 1999  
**For** : NOVEL PROSTATE TUMOR ANTIGEN USEFUL IN DIAGNOSIS AND THERAPY OF PROSTATE AND COLON CANCERS

Point Reyes, December 22, 1999

Honorable Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

SIR:

**TRANSMITTAL LETTER**

Please find the following enclosed for filing in connection with the above-referenced application:

1. Response to Notice to File Missing Parts and petition for extension of time under 37 CFR 1.136(a); Inventors' combined Declaration and Power of Attorney; payment of the required fees; copy of the Notice.
2. Response to Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures; Paper and computer readable copies of the Sequence Listing; Declaration Pursuant to 37 CFR 1.821(f); copy of the Notice.
3. Preliminary Amendment.
4. Return Postcard.

Respectfully submitted,

Kenneth K. Sharples  
Registration No. 35,355  
Attorney for Applicants  
Law Office of Kenneth K. Sharples  
80 Fourth Street, Suite 202, P.O. Box 277  
Point Reyes Station, California 94956  
415/663-0323

**EXPRESS MAIL CERTIFICATION UNDER 37 C.F.R. 1.10**

**"Express Mail" Label No:** EJ582404422US

**Date of Deposit:** December 22, 1999

I hereby certify that this paper and/or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

By:

K.K. SHARPLES



#3

ATTORNEY DOCKET NO. 1703.007US1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants** : Danny E. Afar et al.  
**Serial No** : 09/323,597  
**Filed** : June 1, 1999  
**For** : NOVEL PROSTATE TUMOR ANTIGEN USEFUL IN DIAGNOSIS AND  
THERAPY OF PROSTATE AND COLON CANCERS

Point Reyes, December 22, 1999

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

SIR:

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION UNDER  
37 C.F.R. 1.53 (d) AND PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.36(a)**

This communication is submitted in response to a Notice to File Missing Parts of Application under 37 C.F.R. §1.53 (d) issued in connection with the above-identified application on June 25, 1999. A response was due August 25, 1999. Applicants hereby request a four-month extension of time under 27 CFR 1.36(a) in order to enlarge the time for response to and including December 25, 1999, and herewith make payment of the \$680 small entity fee therefor. Accordingly, this response is being timely filed.

Applicants hereby submit their Declaration and Power of Attorney pursuant to 37 C.F.R. §1.53 (d) and in compliance with 37 C.F.R. §1.63. The Declaration refers to the application's above-identified serial number and filing date. Payment of the surcharge of \$65 for responding to the Notice to File Missing Parts of Application under 37 C.F.R. §1.53 (d) for a small entity is also made herewith.

A check in the amount of \$745 to cover the total required fees is enclosed herewith. No other fee is deemed necessary in connection with the filing of this Communication. A copy of the Notice to File Missing Parts is also enclosed herewith.

Respectfully submitted,

Kenneth K. Sharples  
Registration No. 35,355  
Attorneys for Applicants  
Law Office of Kenneth K. Sharples  
80 Fourth Street, Suite 202, P.O. Box 277  
Point Reyes Station, California 91103  
415/663-0323

12/29/1999 ASW/LE 00000031 09323597

02 FC:218

680.00 CD